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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/285,429	04/02/1999	BRET A. SHIRLEY	5784-9	3707

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EXAMINER

KAM, CHIH MIN

ART UNIT PAPER NUMBER

1656

DATE MAILED: 06/06/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/285,429

Applicant(s)

SHIRLEY ET AL.

Examiner

Chih-Min Kam

Art Unit

1656

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 28 March 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 21-34,45 and 46 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 21-34 and 45-46 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

## DETAILED ACTION

### *Status of the Claims*

1. Claims 21-34 and 45-46 are pending.

Applicants' amendment filed March 28, 2006 is acknowledged, and applicants' response has been fully considered. Claims 21 and 45 have been amended. Therefore, claim 21-34 and 45-46 are examined.

### *Maintained Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 21-34 and 45-46 remain rejected under 35 U.S.C. 103(a) as being unpatentable over by Clark *et al.* (U. S. Patent 5,597,802, published January 28, 1997).

Clark *et al.* teach a composition comprising IGF-I, an osmolyte, a stabilizer and a buffer solution of about pH 5-5.5, and a formulation comprising mixing the IGF-I composition with a buffered solution comprising GH at pH 6.0, where the buffer may be any suitable buffer that is GRAS (generally regarded as safe) and confers a pH of 5-6 on the GH+IGF-I formulation and a pH of about 5-5.5 on the IGF-I formulation, and the buffers include acetate, succinate, phosphate, and citrate buffers (column 13, lines 16-24). The reference indicates a particular composition may comprise IGF-I and GH in a weight ratio of IGF-I: GH of between 2:1 and 100:1, 0.05-0.3 mM of osmolyte (e.g., sodium chloride, potassium chloride and mannitol), about 0.1-0.6 mg/ml of at least one stabilizer, about 1-5 mg/ml of a surfactant and about 5 to 100 mM of a buffer at

Art Unit: 1656

pH 5-6 (column 12, lines 14-35; claims 21-30), and a broader pH range in terms of stability of both proteins is from about 5 to about 6 (column 14, lines 42-44). The reference also suggests that the composition may be administered parenterally, preferably by injection, and the formulation is sterile (column 5, lines 44-52; column 9, line 57-column 10, line 10; column 13, lines 38-41; claim 45), wherein IGF-I can be a recombinant human IGF-I (column 8, lines 46-50; claim 31); and the composition may contain 2-50 mg/ml of sodium chloride (corresponding to 34-855 mM) as osmolyte (also referred as isotonic modifier; column 12, lines 26-35; column 14, lines 12-23; claim 32 and 46). The reference also teaches the final preparation can be a stable liquid or lyophilized solid (column 13, lines 33-37; claims 33 and 34). Although the reference does not specifically provide an example of succinate buffer at a concentration of 10-40 mM for IGF-1-containing composition, the reference does suggest the use of a suitable buffer such as succinate from a group of acetate, succinate, phosphate and citrate buffers in preparing a composition comprising IGF-I and GH at pH 5-6, where a concentration of 5 to 100 mM buffer can be used, and it is known that succinate having  $pK_2$  of 5.64 is used to prepare a buffer in the pH range of 5.5-6.5, thus at the time of invention was made, it would have been obvious that one of ordinary skill in the art is motivated to prepare a pharmaceutical composition comprising IGF-I and GH at pH 6 using the succinate buffer as suggested by the reference, which results in the claimed invention and was, as a whole, prima facie obvious at the time the claimed invention was made. The phrase "for the reduction of pain upon rejection" is an intended use, which does not have weight in the claimed composition.

Response to Arguments

Applicants indicate claims 21 and 45 have been amended to recite the phrase “for the reduction of pain upon rejection”, and Applicants' claimed invention is directed to pharmaceutical compositions that are formulated to reduce pain upon injection. To the contrary, the '802 patent teaches a preferred composition for formulating IGF-I and growth hormone, i.e., one that utilizes an acetate buffer to maximize stability of these proteins, and this preferred composition thus uses a buffer that results in much greater pain upon injection, as evidenced by Applicants' data provided in Example 4 and Figure 7. Furthermore, succinate is mentioned only twice within this patent, e.g., in the context of a Markush grouping of GRAS buffers that can suitably be used to practice the '802 invention, while an acetate buffer is referred to as the buffer to be used in formulating IGF-I and/or IGF-I + GH, and all of the experimental data (see Examples V-XIII) demonstrate the desirability of formulating IGF-I, with or without GH, at pH 5.0 or 5.4 with sodium acetate buffer in order to maximize the potency and efficacy of this protein when injected into an animal. There is no motivation to modify this reference to formulate IGF-I with a succinate buffer, particularly at pH 6.0 and at the concentration ranges recited in the claimed invention. Moreover, this patent makes no mention of the problem of pain upon injection of IGF-I-containing buffered formulations, and the Examiner has not cited to any prior art publication showing that IGF-I formulated in succinate buffer would be expected to have less pain upon injection. As such, this obviousness rejection appears to be a case of hindsight reasoning, where Applicants' specification has been used as a blueprint to reach the claimed invention from the cited prior art disclosure. Yet the law requires that the suggestion to modify the teachings of the prior art and the expectation of success must be found in the prior art itself, not in Applicant's disclosure (pages 5-9 of the response).

Applicants' response has been considered, however, the argument is not found persuasive because of the following reasons. First, the added phrase "for the reduction of pain upon rejection" is an intended use, which does not have weight in the claimed composition. Furthermore, the '802 patent does suggest the use of succinate from a group of buffers containing a limited members (i.e., acetate, succinate, phosphate, and citrate) and the use of a concentration of 5 to 100 mM of buffer for preparing a pharmaceutical composition comprising IGF-I and GH at pH 5-6 (column 13, lines 16-24). Although the '802 patent indicates that the IGF-I formulation to be mixed with the GH solution preferably uses 50 mM sodium acetate to ensure that the final pH in the IGF-I+GH mixture will not vary significantly from pH 5.4 to maintain solubility of both proteins (column 14, lines 38-42), it also states that "a broader pH range in terms of stability of both proteins is from about 5 to about 6" (column 14, lines 42-44). It is known that succinate with  $pK_2$  of 5.64 is suitable for preparing a buffer in the pH range of 5.5-6.5 and with  $pK_1$  of 4.21 is suitable for preparing a buffer of pH 3.2-5.2. Although the reference cites IGF-I compositions are preferably formulated with sodium acetate buffer, it is obvious that acetate having  $pK_a$  of 4.76 is suitable for a buffer of pH 3.6-5.6, but it is not suitable for a buffer at pH 6.0, where both IGF-I and GH are stable at this pH. Therefore, when considering  $pK_a$ , succinate is a more suitable reagent than acetate for preparing a buffer of pH 6.0, which is the motivation of using succinate as a buffer reagent for pH 6. Regarding the concentration range of the buffer (5-100 mM) being broad, such that one of ordinary skill in the art would not have a reasonable expectation of success in selecting the concentration ranges of the succinate buffer utilized by Applicants to reduce pain upon injection, the argument is also not persuasive because this concentration range includes 10-40 mM and is commonly used for preparing buffers, where

Art Unit: 1656

the intended use (i.e., reducing pain during rejection) does not have weight in the claimed composition. Since '802 patent suggests the use of succinate buffer, and succinate is known to have pKa of 4.21 and 5.64 which are suitable for preparing buffer of pH 5-6, it is obvious that one of ordinary skill in the art would prepare a pharmaceutical composition comprising IGF-I and GH at pH 5.0-6.0 using the succinate buffer at a concentration of 5 to 100 mM, which results in the claimed invention and was, as a whole, prima facie obvious at the time the claimed invention was made.

### ***Conclusion***

3. No claims are allowed.

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chih-Min Kam whose telephone number is (571) 272-0948. The examiner can normally be reached on 8.00-4:30, Mon-Fri.

Art Unit: 1656

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kathleen Kerr can be reached at 571-272-0931. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Chih-Min Kam, Ph. D. *CMK*  
Patent Examiner

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June 1, 2006

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